

DUAL OPERATOR ALGEBRAS AND HEREDITARY PROPERTIES OF MINIMAL JOINT DILATIONS

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1. INTRODUCTION

Let \mathcal{H} be a separable, infinite dimensional, complex Hilbert space and let $\mathcal{L}(\mathcal{H})$ be the algebra of all bounded linear operators on \mathcal{H} . A *dual algebra* is a subalgebra of $\mathcal{L}(\mathcal{H})$ that contains the identity operator $I_{\mathcal{H}}$ and is closed in the ultraweak operator topology on $\mathcal{L}(\mathcal{H})$. The theory of dual algebras is deeply related to the study of the problem of solving systems of simultaneous equations in the predual of a dual algebra (see [1], [3] and [4]). In particular, Exner-Jung [11] defined certain Hereditary properties concerning a minimal isometric dilation of a contraction operator T and obtained some characterizations for membership in the class \mathbb{A}_{1, \aleph_0} which will be defined below. This gives a motivation for this work.

Let T be a contraction operator in $\mathcal{L}(\mathcal{H})$ and let B_T be a minimal isometric dilation of T on \mathcal{K}_+ ,

$$\mathcal{K}_+ = \bigvee_{n=0}^{\infty} B_T^n \mathcal{H},$$

with the Wold decomposition $B_T = S_T \oplus R_T$, where $S_T \in \mathcal{L}(\mathcal{U}_T)$ is the unilateral shift part and $R_T \in \mathcal{L}(\mathcal{R}_T)$ is the residual part. Suppose that $T \in \mathcal{L}(\mathcal{H})$ has a non-zero semi-invariant subspace \mathcal{M} (i.e., $\mathcal{M} \neq (0)$). For a compression $\tilde{T} = T_{\mathcal{M}}$ of T to \mathcal{M} , we write a minimal isometric dilation of \tilde{T} by $B_{\tilde{T}} = S_{\tilde{T}} \oplus R_{\tilde{T}}$. Recall that a contraction T has property **(H)** if, for any non-zero semi-invariant subspace \mathcal{M} for T , the minimal isometric dilation $B_{T_{\mathcal{M}}} \in \mathcal{L}(\tilde{\mathcal{K}})$ of $T_{\mathcal{M}}$ which is obtained as a restriction $B_T|_{\tilde{\mathcal{K}}}$ with $\tilde{\mathcal{K}} \in \text{Lat}(B_T)$ satisfies $\mathcal{U}_{T_{\mathcal{M}}} \subset \mathcal{U}_T$. In addition, a contraction operator $T \in \mathbb{A}$ has property **(P)** if there exists $\mathcal{M} \in \text{Lat}(T)$ such that $T|_{\mathcal{M}} \in \mathbb{A}(\mathcal{M})$ and

This work was partially supported by KOSEF 94-1400-02-01-3 and the Basic Science Research Institute Program, Ministry of Education, 1996, BSRI-94-1401.

$T|\mathcal{M}$ has property **(P)**. Then it follows from [1] that $T \in \mathbb{A}_{1, \aleph_0}$ if and only if T has property **(P̃)**. Also one discuss other related hereditary properties in [15]. In [8], one developed a functional calculus for a 2-tuple contractions. In [9], one introduced a class $\mathbb{A}_{m,n}^{(2)}$ of pairs of operators and obtained some results concerning minimal joint isometric dilations. So in this paper we extend the study of hereditary properties of single operators to 2-tuple operators.

In section 3 we will discuss certain Hereditary properties concerning minimal isometric dilations or minimal coisometric extensions of a pair of contractions. In section 3, we apply the hereditary properties to a theory of dual algebras.

2. PRELIMINARIES

The notation and terminology employed here agree with those in [2], [4] and [19]. Suppose that \mathcal{A} is a dual algebra in $\mathcal{L}(\mathcal{H})$. Let $\mathcal{C}_1 = \mathcal{C}_1(\mathcal{H})$ be the trace class in $\mathcal{L}(\mathcal{H})$ and let ${}^\perp\mathcal{A}$ denote the preannihilator of \mathcal{A} in \mathcal{C}_1 . Let $\mathcal{Q}_{\mathcal{A}}$ denote the quotient space $\mathcal{C}_1/{}^\perp\mathcal{A}$. One knows that \mathcal{A} is the dual space of $\mathcal{Q}_{\mathcal{A}}$ and that the duality is given by

$$\langle T, [L] \rangle = \text{trace}(TL), \quad T \in \mathcal{A}, \quad [L] \in \mathcal{Q}_{\mathcal{A}}.$$

For $T \in \mathcal{L}(\mathcal{H})$, let \mathcal{A}_T denote the dual algebra generated by T . For vectors x and y in \mathcal{H} , we write, as usual, $x \otimes y$ for the rank one operator in \mathcal{C}_1 defined by $(x \otimes y)(u) = (u, y)x$, $u \in \mathcal{H}$.

Throughout this paper, we write \mathbb{N} for the set of natural numbers. We shall denote by \mathbb{D} the open unit disc in the complex plane \mathbb{C} and we write \mathbb{T} for the boundary of \mathbb{D} .

For a Hilbert space \mathcal{K} and any operators $T_i \in \mathcal{L}(\mathcal{K})$, $i = 1, 2$, we write $T_1 \cong T_2$ if T_1 is unitarily equivalent to T_2 .

For $1 \leq p \leq \infty$ we denote the usual Lebesgue function space by $L^p = L^p(\mathbb{T})$ and the usual Hardy space by $H^p = H^p(\mathbb{T})$. One knows that the preannihilator ${}^\perp(H^\infty)$ of H^∞ in L^1 is the subspace H_0^1 consisting of those functions g in H^1 for which analytic extension \tilde{g} to \mathbb{D} satisfies $\tilde{g}(0) = 0$ (cf. [14]). It is well known that H^∞ is the dual space of L^1/H_0^1 .

Suppose that m and n are any cardinal numbers such that $1 \leq m, n \leq \aleph_0$. A dual algebra \mathcal{A} will be said to have property $(\mathbb{A}_{m,n})$ if every $m \times n$ system of simultaneous equations of the form $[x_i \otimes y_j] = [L_{ij}]$, $0 \leq i < m$, $0 \leq j < n$, where $\{[L_{ij}]\}_{\substack{0 \leq i < m \\ 0 \leq j < n}}$ is an arbitrary $m \times n$ array from $\mathcal{Q}_{\mathcal{A}}$, has a solution $\{x_i\}_{0 \leq i < m}$, $\{y_j\}_{0 \leq j < n}$ consisting of a pair of sequences of vectors from \mathcal{H} . For brevity, we shall denote $(\mathbb{A}_{n,n})$ by (\mathbb{A}_n) . The class $\mathbb{A}(\mathcal{H})$ consists of all

those absolutely continuous contractions T in $\mathcal{L}(\mathcal{H})$ for which the Foiaş-Nagy functional calculus $\Phi_T : H^\infty \longrightarrow \mathcal{A}_T$ is an isometry. Furthermore, we denote by $\mathbb{A}_{m,n}(\mathcal{H})$ the set of all T in $\mathbb{A}(\mathcal{H})$ such that the algebra \mathcal{A}_T has property $(\mathbb{A}_{m,n})$. We write simply $\mathbb{A}_{m,n}$ for $\mathbb{A}_{m,n}(\mathcal{H})$ unless we mention otherwise.

Let $\mathcal{L}(\mathcal{H})_{comm}^{(2)}$ be the algebra of pairs of operators in $\mathcal{L}(\mathcal{H})$ which are commute. For $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$, if there exists a 2-tuple $(S_1, S_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ for some Hilbert space $\mathcal{K} \supset \mathcal{H}$ such that \mathcal{H} is a semi-invariant subspace for S_j and $(S_j)|_{\mathcal{H}} = T_j$, $j = 1, 2$. For $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$, a joint dilation(extension) $\mathbf{S} = (S_1, S_2) \in \mathcal{L}(\mathcal{K})_{comm}^{(2)}$, where $\mathcal{K} \supset \mathcal{H}$, is said to be a joint unitary (resp. isometric, coisometric) dilation (extension) if each of S_j , $j = 1, 2$, is a unitary (resp. an isometry, a coisometry). If $\mathbf{U} = (U_1, U_2) \in \mathcal{L}(\mathcal{K})_{comm}^{(2)}$ is a joint dilation for $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ and $\mathcal{K}' \supset \mathcal{H}$ is a common invariant subspace for \mathbf{U} , then $\mathbf{U}|_{\mathcal{K}'} = (U_1|_{\mathcal{K}'}, U_2|_{\mathcal{K}'}) \in \mathcal{L}(\mathcal{K}')_{comm}^{(2)}$ is a joint dilation of \mathbf{T} .

For $1 \leq p \leq \infty$, we denote by $L^p(\mathbb{T}^2)$ the Lebesgue spaces relative to normalized Lebesgue area measure on the torus \mathbb{T}^2 and by $H^p(\mathbb{T}^2)$ the Hardy space the subspaces of $L^p(\mathbb{T}^2)$ consisting of all the functions $f \in L^p(\mathbb{T}^2)$ such that the Foisson Kernel is analytic on \mathbb{D}^2 . We write for $L_0^1(\mathbb{T}^2)$ the subspace of $L^1(\mathbb{T}^2)$ consisting of those functions $f \in L^1(\mathbb{T}^2)$ such that Fourier coefficient $f(-n_1, -n_2) = 0$, for all $n_1, n_2 \in \mathbb{N}$.

The following provides a good relationship between $H^\infty(\mathbb{T}^2)$ and \mathcal{A}_{T_1, T_2} which is a dual algebra generated by T_1, T_2 .

Theorem 2.1. [*q*, Theorem 2.4.2] *If $(T_1, T_2) \in ACC^{(2)}(\mathcal{H})$, then there is an algebra homomorphism $\Phi_{T_1, T_2} : H^\infty(\mathbb{T}^2) \longrightarrow \mathcal{A}_{T_1, T_2}$ with the following properties:*

- (a) $\Phi_{T_1, T_2}(1) = I_{\mathcal{H}}$, $\Phi_{T_1, T_2}(\omega_1) = T_1$, $\Phi_{T_1, T_2}(\omega_2) = T_2$, where ω_1 and ω_2 denote the coordinate functions.
- (b) $\|\Phi_{T_1, T_2}(h)\| \leq \|h\|_\infty$, for all $h \in H^\infty(\mathbb{T}^2)$.
- (c) Φ_{T_1, T_2} is weak*-continuous. (i.e., continuous when both H^∞ and \mathcal{A}_{T_1, T_2} are given the corresponding weak*-topologies).
- (d) The range of Φ_{T_1, T_2} is weak*-dense in \mathcal{A}_{T_1, T_2} .
- (e) There is a bounded, linear, one-to-one map

$$\phi_{T_1, T_2} : \mathcal{Q}_{T_1, T_2} \longrightarrow L^1(\mathbb{T}^2)/L_0^1(\mathbb{T}^2)$$

with $\phi^*_{T_1, T_2} = \Phi_{T_1, T_2}$.

(f) If Φ_{T_1, T_2} is an isometry, then it is a weak*-homeomorphism onto \mathcal{A}_{T_1, T_2} and ϕ_{T_1, T_2} is an isometry onto $L^1(\mathbb{T}^2)/L_0^1(\mathbb{T}^2)$.

We now define the classes $\mathbb{A}^{(2)}(\mathcal{H})$ and $\mathbb{A}_{m, n}^{(2)}(\mathcal{H})$. The analogous classes $\mathbb{A}(\mathcal{H})$ and $\mathbb{A}_{m, n}(\mathcal{H})$ have been a central topic of study in the theory of dual algebras (cf. [4]). Let $(T_1, T_2) \in ACC^{(2)}(\mathcal{H})$. We say that $(T_1, T_2) \in \mathbb{A}^{(2)}(\mathcal{H})$ if the functional calculus is an isometry. Furthermore, for $n \in \mathbb{N}$, we say that $(T_1, T_2) \in \mathbb{A}_{1/n}^{(2)}(\mathcal{H})$ if $(T_1, T_2) \in \mathbb{A}^{(2)}(\mathcal{H})$ and \mathcal{A}_{T_1, T_2} has property $(\mathbb{A}_{1/n})$. Similarly, for m, n cardinal numbers with $1 \leq m, n \in \mathbb{N}_0$, we say that $\mathbb{A}_{m, n}^{(2)}(\mathcal{H})$ if $(T_1, T_2) \in \mathbb{A}^{(2)}(\mathcal{H})$ and \mathcal{A}_{T_1, T_2} has property $(\mathbb{A}_{m, n})$. As before, we write $\mathbb{A}_n^{(2)}(\mathcal{H})$ instead of $\mathbb{A}_{n, n}^{(2)}(\mathcal{H})$.

Recall that $T \in C_0$ if $\|T^{*n}x\| \rightarrow 0$ for any $x \in \mathcal{H}$. We say $T \in C_0$ if $T^* \in C_0$. And we denote that $C_{00} = C_0 \cap C_0$.

3. HEREDITARY PROPERTIES OF JOINT DILATIONS

Let $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ be a pair of contractions. A pair $(T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ of contractions has a joint coisometric extension, and thus a minimal joint coisometric extension (cf. [1, 2]). Let $\mathbf{B}_{\mathbf{T}} = (B_1, B_2) \in \mathcal{L}(\mathcal{K})_{comm}^{(2)}$ be a minimal joint isometric dilation of $\mathbf{T} = (T_1, T_2)$, so that $\mathcal{K} \supset \mathcal{H}$, \mathcal{H} is a common invariant subspace for B_j , and $B_j^*|_{\mathcal{H}} = T_j^*$, $j = 1, 2$. Then \mathcal{K} has decomposition $\mathcal{K} = \mathcal{S}_j \oplus \mathcal{R}_j$, $j = 1, 2$, such that $\mathcal{S}_j, \mathcal{R}_j$ are reducing subspaces for B_j $j = 1, 2$ respectively and $B_j|_{\mathcal{S}_j} = S_j^*$, and $B_j|_{\mathcal{R}_j} = R_j$, $j = 1, 2$, where S_j^* is backward shifts operator of some multiplicity and R_j is unitary operator $j = 1, 2$. Furthermore, it follows that $\mathbf{B}_{\mathbf{T}^*} = (B_1^*, B_2^*) \in \mathcal{L}(\mathcal{K})_{comm}^{(2)}$ is a minimal joint coisometric extension of $\mathbf{T}^* = (T_1^*, T_2^*)$.

Let \mathcal{M} be a common invariant subspace for $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ with $\mathcal{M} \neq (0)$. Then a minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}} = (B_1, B_2)$ of \mathbf{T} is a joint isometric dilation of $\mathbf{T}|_{\mathcal{M}} = (T_1|_{\mathcal{M}}, T_2|_{\mathcal{M}})$. Hence $\mathbf{T}|_{\mathcal{M}}$ has a minimal isometric dilation $\mathbf{B}_{\mathbf{T}|_{\mathcal{M}}} \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ with $\mathbf{B}_{\mathbf{T}|_{\mathcal{M}}} = (B_1|_{\tilde{\mathcal{K}}}, B_2|_{\tilde{\mathcal{K}}})$ such that $\mathcal{M} \subset \tilde{\mathcal{K}} \subset \mathcal{K}$ with $\tilde{\mathcal{K}} \in \text{Lat}(\mathbf{B}_{\mathbf{T}})$ and $\mathbf{B}_{\mathbf{T}|_{\mathcal{M}}} = \mathbf{B}_{\mathbf{T}}|_{\tilde{\mathcal{K}}}$. Note that contrary to the one variable case, all minimal unitary dilation of a pair of contractions are not isometric. Hence we should define hereditary properties with a slight difference with one variable case as follows.

Definition 3.1. Suppose that $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ be a pair of contractions.

(1) \mathbf{T} has property $(\mathbf{H}_1^{(2)})$ if there exists minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}}$ of \mathbf{T} such that for any non-zero common invariant subspace \mathcal{M} for

$\mathbf{T} = (T_1, T_2)$ the minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}|\mathcal{M}} = (B_1|\tilde{\mathcal{K}}, B_2|\tilde{\mathcal{K}}) \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ of $\mathbf{T}|\mathcal{M}$ obtained as a restriction $\mathbf{B}_{\mathbf{T}}|\tilde{\mathcal{K}}$ with $\tilde{\mathcal{K}} \in \text{Lat}(\mathbf{B}_{\mathbf{T}})$ satisfies $\mathcal{S}_{T_1|\mathcal{M}} \subset \mathcal{S}_{T_1}$ and $\mathcal{S}_{T_2|\mathcal{M}} \subset \mathcal{S}_{T_2}$.

(2) \mathbf{T} has property $(\mathbf{H}_1^{(2)*})$ if there exists minimal joint coisometric extension $\mathbf{B}_{\mathbf{T}}$ of \mathbf{T} such that for any non-zero common invariant subspace \mathcal{M} for $\mathbf{T} = (T_1, T_2)$, the minimal joint coisometric extension $\mathbf{B}'_{\mathbf{T}|\mathcal{M}} = (B'_1|\tilde{\mathcal{K}}, B'_2|\tilde{\mathcal{K}}) \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ of $\mathbf{T}|\mathcal{M}$ obtained as a restriction $\mathbf{B}'_{\mathbf{T}}|\tilde{\mathcal{K}}$ with $\tilde{\mathcal{K}} \in \text{Lat}(\mathbf{B}'_{\mathbf{T}})$ satisfies $\mathcal{S}_{T_1|\mathcal{M}} \subset \mathcal{S}_{T_1}$ and $\mathcal{S}_{T_2|\mathcal{M}} \subset \mathcal{S}_{T_2}$.

(3) \mathbf{T} has property $(\mathbf{H}_2^{(2)})$ if there exists minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}}$ of \mathbf{T} such that for any non-zero common invariant subspace \mathcal{M} for $\mathbf{T} = (T_1, T_2)$ the minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}|\mathcal{M}} = (B_1|\mathcal{M}, B_2|\mathcal{M}) \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ of $\mathbf{T}|\mathcal{M}$ obtained as a restriction $\mathbf{B}_{\mathbf{T}}|\tilde{\mathcal{K}}$ with $\tilde{\mathcal{K}} \in \text{Lat}(\mathbf{B}_{\mathbf{T}})$ satisfies $\mathcal{R}_{T_1|\mathcal{M}} \subset \mathcal{R}_{T_1}$ and $\mathcal{R}_{T_2|\mathcal{M}} \subset \mathcal{R}_{T_2}$.

(4) \mathbf{T} has property $(\mathbf{H}_2^{(2)*})$ if there exists minimal joint coisometric extension $\mathbf{B}_{\mathbf{T}}$ of \mathbf{T} such that for any non-zero common invariant subspace \mathcal{M} for $\mathbf{T} = (T_1, T_2)$, the minimal joint coisometric extension $\mathbf{B}'_{\mathbf{T}|\mathcal{M}} = (B'_1|\tilde{\mathcal{K}}, B'_2|\tilde{\mathcal{K}}) \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ of $\mathbf{T}|\mathcal{M}$ obtained as a restriction $\mathbf{B}'_{\mathbf{T}}|\tilde{\mathcal{K}}$ with $\tilde{\mathcal{K}} \in \text{Lat}(\mathbf{B}'_{\mathbf{T}})$ satisfies $\mathcal{R}_{T_1|\mathcal{M}} \subset \mathcal{R}_{T_1}$ and $\mathcal{R}_{T_2|\mathcal{M}} \subset \mathcal{R}_{T_2}$.

We denote $C_{\cdot 0}^{(2)}$ is the set of pairs (T_1, T_2) of operators on \mathcal{H} with $T_i \in C_{\cdot 0}(\mathcal{H})$ $i = 1, 2$.

Proposition 3.2. *Suppose that $\mathbf{T} = (T_1, T_2) \in C_{\cdot 0}^{(2)}(\mathcal{H})$. Then \mathbf{T} has property $(\mathbf{H}_1^{(2)})$.*

Proof. Since $T_i \in C_{\cdot 0}$, B_{T_i} is a unilateral shift on \mathcal{H}_i . Let us consider a joint isometric dilation $\mathbf{B} := (B_{T_1} \oplus B_{T_2}, B_{T_1} \oplus B_{T_2})$ in $\mathcal{L}(\mathcal{H}_1 \oplus \mathcal{H}_2)_{comm}^{(2)}$ of \mathbf{T} .

Then $\mathbf{B} \in C_{\cdot 0}^{(2)}$ and there exists a minimal joint isometric dilation $\mathbf{B}_{\mathbf{T}}$ of \mathbf{T} such that $\mathbf{B}|\mathcal{K} = \mathbf{B}_{\mathbf{T}} := (B'_{T_1}, B'_{T_2})$. Since $\mathbf{B} \in C_{\cdot 0}^{(2)}$, $\mathbf{B}_{\mathbf{T}} \in C_{\cdot 0}^{(2)}$. Consider $\mathcal{M} \in \text{Lat}(\mathbf{T})$ with $\mathbf{T}|\mathcal{M} := (T_1|\mathcal{M}, T_2|\mathcal{M}) \in C_{\cdot 0}^{(2)}$. Then since there is no unitary part, \mathbf{T} has property $(\mathbf{H}_1^{(2)})$. \square

Example 3.3. Let U be a bilateral shift and let consider $\mathbf{U}=(U, U)$. Then $\mathbf{U}=\mathbf{B}_{\mathbf{U}}$ and $\mathcal{S} = (0)$. Let $\mathcal{M} \in \text{Lat}(\mathbf{U})$. Then $\mathbf{U}|\mathcal{M} = \mathbf{B}_{\mathbf{U}}|\mathcal{M}$ and $\tilde{\mathcal{S}} = \mathcal{M}$. Hence $\tilde{\mathcal{S}}$ can not be contained in \mathcal{S} and \mathbf{U} does not have property $(\mathbf{H}_1^{(2)})$.

Theorem 3.4. Every pair of contractions $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ has

- (1) property $(\mathbf{H}_1^{(2)*})$,
- (2) property $(\mathbf{H}_2^{(2)})$,
- (3) property $(\mathbf{H}_2^{(2)*})$.

Proof. Let $\mathbf{T} = (T_1, T_2) \in \mathcal{L}(\mathcal{H})_{comm}^{(2)}$ and \mathcal{M} be a nontrivial common invariant subspace of \mathbf{T} .

(1) Let $\mathbf{B}'_{\mathbf{T}} = (B'_{T_1}, B'_{T_2})$, be the Ando coisometric extension of \mathbf{T} and let $\mathbf{B}'_{\tilde{\mathbf{T}}} = (B'_{\tilde{T}_1}, B'_{\tilde{T}_2})$ be a minimal joint coisometric extension of $\tilde{\mathbf{T}} = (\tilde{T}_1, \tilde{T}_2)$ such that $\tilde{\mathcal{K}} \subset \mathcal{K}$. Then

$$B'_{T_i} = S_{T_i}^* \oplus R_{T_i}^* \in \mathcal{L}(\mathcal{S}_{T_i} \oplus \mathcal{R}_{T_i}), i = 1, 2$$

and

$$\begin{aligned} B'_{\tilde{T}_i} &= S_{\tilde{T}_i}^* \oplus R_{\tilde{T}_i}^* \in \mathcal{L}(\mathcal{S}_{\tilde{T}_i} \oplus \mathcal{R}_{\tilde{T}_i}), \quad i = 1, 2 \\ &\cong \begin{pmatrix} T_i|_{\mathcal{M}} & * \\ 0 & * \end{pmatrix} \end{aligned}$$

relative to a decomposition $\mathcal{M} \oplus (\tilde{\mathcal{K}} \ominus \mathcal{M})$.

We now claim that $\mathcal{S}_{\tilde{T}_i} \subset \mathcal{S}_{T_i}$, $i = 1, 2$. Let $x \in \mathcal{S}_{\tilde{T}_i}$ and let $x = s \oplus r \in \mathcal{S}_{T_i} \oplus \mathcal{R}_{T_i}$. Since $B'_{\tilde{T}_i} = B'_{T_i}|_{\tilde{\mathcal{K}}}$, by minimality where $\tilde{\mathcal{K}} \in \text{Lat}(B'_{T_i})$, we have

$$\begin{aligned} \|S_{\tilde{T}_i}^{*n} x\|^2 &= \|B'_{\tilde{T}_i} x\|^2 = \|B'_{T_i} x\|^2 \\ &= \|(S_{T_i}^{*n} \oplus R_{T_i}^{*n})(s \oplus r)\|^2 \\ &= \|S_{T_i}^{*n} s\|^2 + \|R_{T_i}^{*n} r\|^2 \\ &= \|S_{T_i}^{*n} s\|^2 + \|r\|^2 \end{aligned}$$

let $n \rightarrow \infty$, $r = 0$. Therefore $x \in \mathcal{S}_{T_i}$, $i = 1, 2$. Hence \mathbf{T} has property $(\mathbf{H}_1^{(2)*})$.

(3) Using notation in the proof of (\mathbf{H}_1^*) . We claim that $\mathcal{R}_{\tilde{T}_1} \subset \mathcal{R}_{T_1}$. Let $x \in \mathcal{R}_{\tilde{T}_1} \subset \mathcal{K} = \mathcal{S}_{T_1} \oplus \mathcal{R}_{T_1}$ and let $x = s \oplus r \in \mathcal{S}_{T_1} \oplus \mathcal{R}_{T_1}$. Then we have

$$\begin{aligned} \|s\|^2 + \|r\|^2 &= \|x\|^2 = \|\mathcal{R}_{\tilde{T}_1} x\|^2 = \|B'_{\tilde{T}_1} x\|^2 \\ &= \|B'_{T_1} x\|^2 = \|S_{T_1}^{*n} s\|^2 + \|R_{T_1}^{*n} r\|^2 \\ &= \|S_{T_1}^{*n} s\|^2 + \|r\|^2. \end{aligned}$$

Let $n \rightarrow \infty$, since $\|S_{T_1}^{*n}s\| \rightarrow 0$, $s = 0$. Therefore $\mathcal{R}_{\tilde{T}_1} \subset \mathcal{R}_{T_1}$. Similarly $\mathcal{R}_{\tilde{T}_2} \subset \mathcal{R}_{T_2}$. Hence \mathbf{T} has property $(\mathbf{H}_2^{(2)*})$.

(2) Let $\mathbf{B}_{\mathbf{T}} \in \mathcal{L}(\mathcal{K})_{comm}^{(2)}$ be the Ando minimal joint isometric dilation of \mathbf{T} and $\mathbf{B}_{\tilde{\mathbf{T}}} = \mathbf{B}_{\mathbf{T}|\mathcal{M}} \in \mathcal{L}(\tilde{\mathcal{K}})_{comm}^{(2)}$ be the minimal joint isometric dilation of and $\tilde{\mathbf{T}} = \mathbf{T}|\mathcal{M} = (T_1|\mathcal{M}, T_2|\mathcal{M})$ such that $\mathbf{B}_{\mathbf{T}}|\tilde{\mathcal{K}} = \mathbf{B}_{\tilde{\mathbf{T}}}$ and $\tilde{\mathcal{K}} \in \text{Lat}(B_1, B_2)$. We have $B_{T_i} = S_{T_i} \oplus R_{T_i} \in \mathcal{L}(S_{T_i} \oplus \mathcal{R}_{T_i})$ and $B_{\tilde{T}_i} = S_{\tilde{T}_i} \oplus R_{\tilde{T}_i} \in \mathcal{L}(S_{\tilde{T}_i} \oplus \mathcal{R}_{\tilde{T}_i})$. We shall claim $\mathcal{R}_{\tilde{T}_i} \subset \mathcal{R}_{T_i}$, $i = 1, 2$. Let $x \in \mathcal{R}_{\tilde{T}_1}$ and let $x = s \oplus r \in S_{T_1} \oplus \mathcal{R}_{T_1}$. Since $B_{\tilde{T}_1} = B_{T_1}|\tilde{\mathcal{K}}$,

$$B_{T_1}^{*n} = \begin{pmatrix} B_{\tilde{T}_1}^{*n} & 0 \\ A_n & * \end{pmatrix}$$

relative to a decomposition $\tilde{\mathcal{K}} \oplus (\mathcal{K} \ominus \tilde{\mathcal{K}})$ for any $n \in \mathbb{N}$.

$$\begin{aligned} \|x\|^2 &\leq \|x\|^2 + \|A_n x\|^2 = \|R_{\tilde{T}_1}^{*n} x\|^2 + \|A_n x\|^2 \\ &= \|R_{\tilde{T}_1}^{*n} x \oplus A_n x\|^2 = \|B_{T_1}^{*n} x\|^2 \\ &\leq \|x\|^2. \end{aligned}$$

Therefore $\|x\| = \|B_{T_1}^{*n} x\|$ for any $n \in \mathbb{N}$. And we have

$$\begin{aligned} \|s\|^2 + \|r\|^2 &= \|x\|^2 \\ &= \|B_{T_1}^{*n} x\|^2 = \|S_{T_1}^{*n} s\|^2 + \|R_{T_1}^{*n} r\|^2 \\ &= \|S_{T_1}^{*n} s\|^2 + \|r\|^2. \end{aligned}$$

Letting $n \rightarrow \infty$, $\|S_{T_1}^{*n}s\| \rightarrow 0$, $s = 0$. Therefore $x \in \mathcal{R}_{T_1}$. So $\mathcal{R}_{\tilde{T}_1} \subset \mathcal{R}_{T_1}$. Similarly $\mathcal{R}_{\tilde{T}_2} \subset \mathcal{R}_{T_2}$. Hence \mathbf{T} has property $(\mathbf{H}_2^{(2)})$. \square

4. DUAL ALGEBRAS GENERATED BY 2-TUPLE CONTRACTIONS

Lemma 4.1. *If $\mathbf{T} = (T_1, T_2) \in \mathbf{A}_{1,1}^{(2)}(\mathcal{H})$, then for any positive integer n , there exists $\mathcal{M}_n \in \text{Lat}(\mathbf{T})$ and $\{e_k^{(n)}\}_{k=1}^n \subset \mathcal{M}_n$ such that*

$$e_k^{(n)} \in \text{Ker}(T_i|\mathcal{M}_n)^{*k} \ominus \text{Ker}(T_i|\mathcal{M}_n)^{*k-1}$$

and

$$[e_k^{(n)} \otimes e_k^{(n)}] = [C_0]_{\mathbf{T}}$$

$k = 1, \dots, n$.

Proof. Let us consider the operator $A_j \in \mathcal{L}(\mathbf{C}^n)$, $j = 1, 2$ such that

$$A_j = \begin{pmatrix} 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 1 \\ & & & 0 \end{pmatrix}.$$

Then it is easy to show that A_j has a cyclic vector. By [17, Theorem 3.3.1], there exist $\mathcal{M}_n, \mathcal{N}_n \in \text{Lat}(\mathbf{T})$ with $\mathcal{M}_n \supset \mathcal{N}_n$, $\dim(\mathcal{M}_n \ominus \mathcal{N}_n) = n$ such that $A'_i = T_i|_{\mathcal{M}_n} \ominus \mathcal{N}_n$ is similar to A_i . Let $X : \mathcal{M}_n \ominus \mathcal{N}_n \rightarrow \mathcal{H}$ invertible operator with $A_i = X A'_i X^{-1}$ for $i = 1, 2$, then $X A'_i = A_i X$ and then $X^* A_i^* = A_i'^* X^*$. Define $e_k^{(n)} = X^* u_k$, where u_k . Then

$$(A_i'^*)^j e_k^{(n)} = A_i'^{*j} X^* u_k = X^* A_i^{*j} u_k.$$

If $j \leq k$, then $X^* A_i^{*j} u_k = 0$. Since X^* is one to one, if $j \leq k$, then $X^* A_i^{*j} u_k \neq 0$, for $i = 1, 2$. Hence we have

$$e_k^{(n)} \in \text{Ker}(A_i'^{*k}) \ominus \text{Ker}(A_i'^{*k-1}).$$

Moreover, since

$$(T_i|_{\mathcal{M}_n})^* = \begin{pmatrix} * & 0 \\ * & A_i'^{*k} \end{pmatrix},$$

we have

$$e_k^{(n)} \in \text{Ker}(T_i|_{\mathcal{M}_n^*})^k \ominus \text{Ker}(T_i|_{\mathcal{M}_n^*})^{k-1}.$$

Thus, for $h \in H^\infty(\mathbf{T})$,

$$h(T_1, T_2) = \begin{pmatrix} * & * & * \\ 0 & D & * \\ 0 & 0 & * \end{pmatrix},$$

where D is the scalar operator (with respect to basis $\{u_n\}$) defined by $D e_k^{(n)} = h(\lambda) e_k^{(n)}$, therefore

$$\langle h(T_1, T_2) e_k^{(n)}, e_k^{(n)} \rangle = h(\lambda).$$

Then by definition of

$$[C\lambda]_{\mathbf{T}} = [e_k^{(n)} \otimes e_k^{(n)}]_{\mathbf{T}}, k = 1, \dots, n.$$

Hence the proof is complete. \square

Let \mathcal{A} be a dual algebra, for $0 \leq \theta < \gamma \leq 1$. We recall from [] that $\mathcal{E}_\theta^r(\mathcal{A})$ is the set of all $[L]$ in $\mathcal{Q}_{\mathcal{A}}$ such that there exist sequences $\{x_i\}$ and $\{y_i\}$ in the unit ball of \mathcal{H} satisfying

$$\limsup_{i \rightarrow \infty} \|[L] - [x_i \otimes y_i]\| \leq \theta$$

and

$$\|[x_i \otimes z]\| \rightarrow 0, \quad \forall z \in \mathcal{H}.$$

Furthermore, for some $0 \leq \theta < \gamma \leq 1$, \mathcal{A} has property $E_{\theta, \gamma}^r$ if $\overline{\text{aco}}(\mathcal{E}_\theta^r(\mathcal{A})) \supset B_{0, \gamma} = \{[L] \in \mathcal{Q} : \|[L]\| \leq \gamma\}$.

Theorem 4.2. Suppose $\mathbf{T} = (T_1, T_2) \in \mathbf{A}_{1,1}^{(2)}(\mathcal{H})$ has property $(\mathbf{H}_1^{(2)})$. Then there exist $\{f_j\}_{j=1}^\infty$ of unit vectors in \mathcal{H} satisfying

$$[f_j \otimes f_j] = [C_0]_{\mathbf{T}}$$

and

$$\|[f_j \otimes w_1]_{T_1}\| + \|[f_j \otimes w_2]_{T_2}\| \rightarrow 0 \quad \forall w_i \in \mathcal{H}, \quad i = 1, 2.$$

Proof. Let $\mathbf{B}_{\mathbf{T}} = (B_{T_1}, B_{T_2})$ be a minimal joint isometric dilation of $\mathbf{T} = (T_1, T_2)$ has property $(\mathbf{H}_1^{(2)})$, and let $\mathbf{B}_{\mathbf{T}}^* = (B_{T_1}^*, B_{T_2}^*)$ be a minimal joint co-isometric extension of $T^* = (T_1^*, T_2^*)$. Suppose $B_{T_1}^* = S_{T_1}^* \oplus R_{T_1}^*$, $B_{T_2}^* = S_{T_2}^* \oplus R_{T_2}^*$, where S_{T_1}, S_{T_2} are unilateral shifts on \mathcal{S}_{T_1} and \mathcal{S}_{T_2} , respectively and R_{T_1}, R_{T_2} are unilateral operator on \mathcal{R}_{T_1} and \mathcal{R}_{T_2} , respectively. By Lemma 4.1, for each positive integer n . There exist $\mathcal{M}_n \in \text{Lat}(\mathbf{T})$ and orthonormal set $\{e_k^{(n)}\}_{k=1}^n \subset \mathcal{M}_n$ such that

$$(6-a) \quad e_k^{(n)} \in \text{Ker}(T_i|_{\mathcal{M}_n})^{*k}$$

and

$$(6-b) \quad [e_k^{(n)} \otimes e_k^{(n)}] = [C_0]_{\mathbf{T}},$$

$k = 1, \dots, n, i = 1, 2$. Let $\mathbf{B}_n = (B_{T_1|\mathcal{M}_n}, B_{T_2|\mathcal{M}_n}) \in \mathcal{L}(\tilde{\mathcal{K}}_n)^{(2)}$ be a minimal joint isometric dilation of $\mathbf{T}|\mathcal{M}_n = (T_1|\mathcal{M}_n, T_2|\mathcal{M}_n) = (T_1|\mathcal{M}_n, T_2|\mathcal{M}_n)$ obtained as $\mathbf{B}_\mathbf{T}|\tilde{\mathcal{K}}_n$ for some $\tilde{\mathcal{K}}_n \in \text{Lat}(\mathbf{B}_\mathbf{T})$ and then $\mathbf{B}_n^* = (B_{T_1|\mathcal{M}_n}^*, B_{T_2|\mathcal{M}_n}^*)$ be the minimal joint coisometric extension of

$$(\mathbf{T}|\mathcal{M}_n)^* = (T_1|\mathcal{M}_n^*, T_2|\mathcal{M}_n^*).$$

Suppose

$$(6-c) \quad B_{T_1|\mathcal{M}_n}^* = S_{T_1|\mathcal{M}_n}^* \oplus R_{T_1|\mathcal{M}_n}^* \text{ and } B_{T_2|\mathcal{M}_n}^* = S_{T_2|\mathcal{M}_n}^* \oplus R_{T_2|\mathcal{M}_n}^*$$

where $S_{T_1|\mathcal{M}_n}, S_{T_2|\mathcal{M}_n}$ are unilateral shifts on $\mathcal{S}_{T_1|\mathcal{M}_n}, \mathcal{S}_{T_2|\mathcal{M}_n}$, respectively. By (6-a) and (6-c), $e_k^{(n)} \in \mathcal{S}_{T_i|\mathcal{M}_n}, k = 1, \dots, n, i = 1, 2$. Since T has property $(\mathbf{H}_1^{(2)})$, $\mathcal{S}_{T_i|\mathcal{M}_n} \subset \mathcal{S}_{T_i} \forall n \forall i$, then $e_k^{(n)} \in \mathcal{S}_{T_i}$, for all pairs k and n with $i = 1, 2$. Hence we can prove

$$\|[f_j \otimes w_1]_{T_1}\| + \|[f_j \otimes w_2]_{T_2}\| \longrightarrow 0 \quad \forall w_i \in \mathcal{H}, \quad i = 1, 2.$$

□

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